

Authenticated Ciphers

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Part 1:
AES-Based Authenticated
Encryption Modes
and High-Performance Software

Based on joint work with Martin Lauridsen
and Elmar Tischhauser

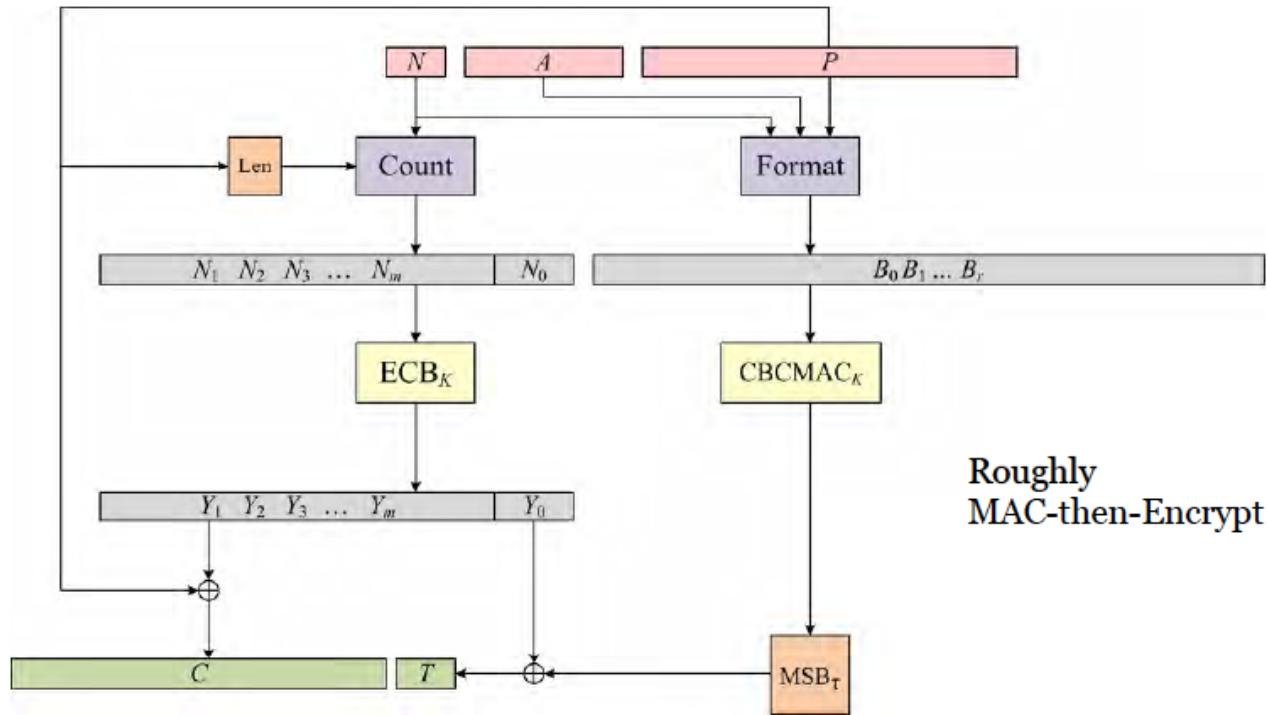
Scope

- Focus on modes of operation for block ciphers
- Underlying block cipher: AES-128
- Context: CAESAR competition

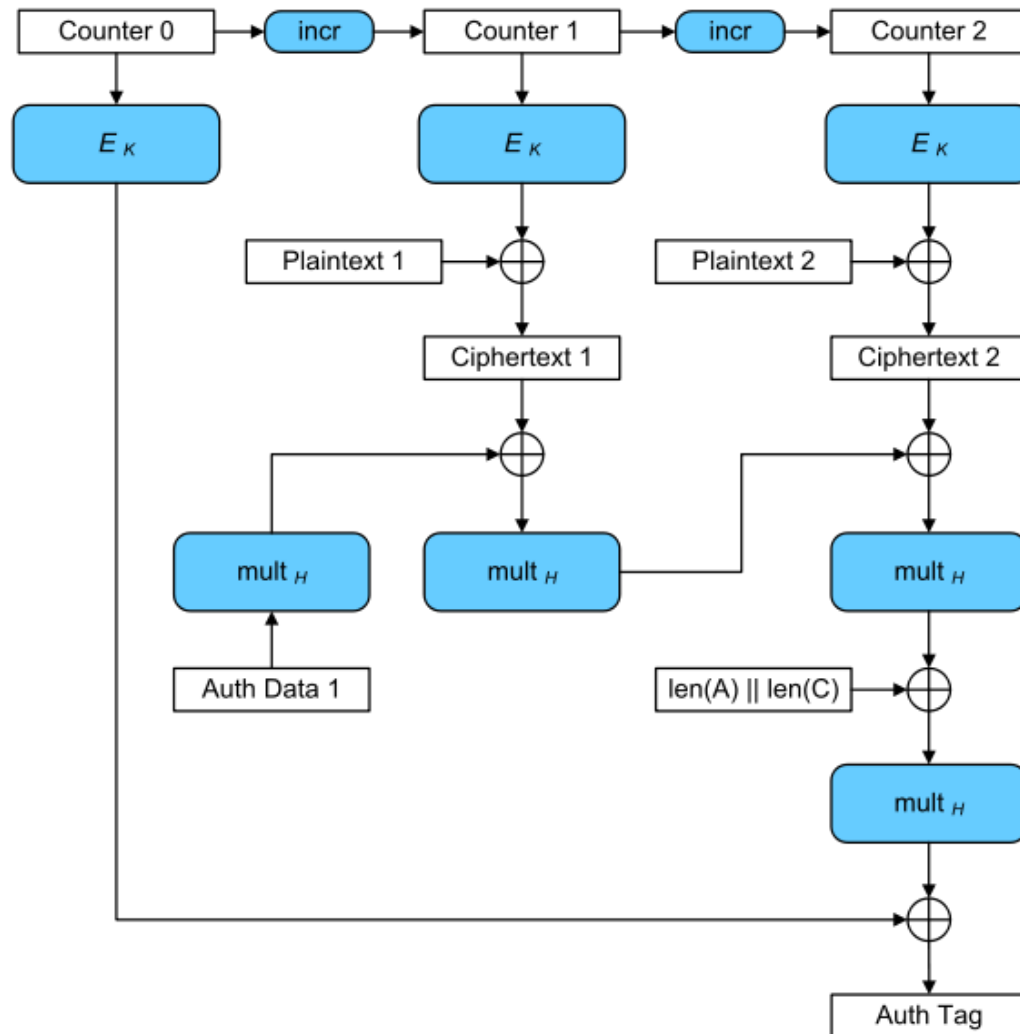
CCM: Counter with CBC-MAC

[Whiting, Housley, Ferguson 2002]
NIST SP 800-38C
RFC 3610, 4309, 5084

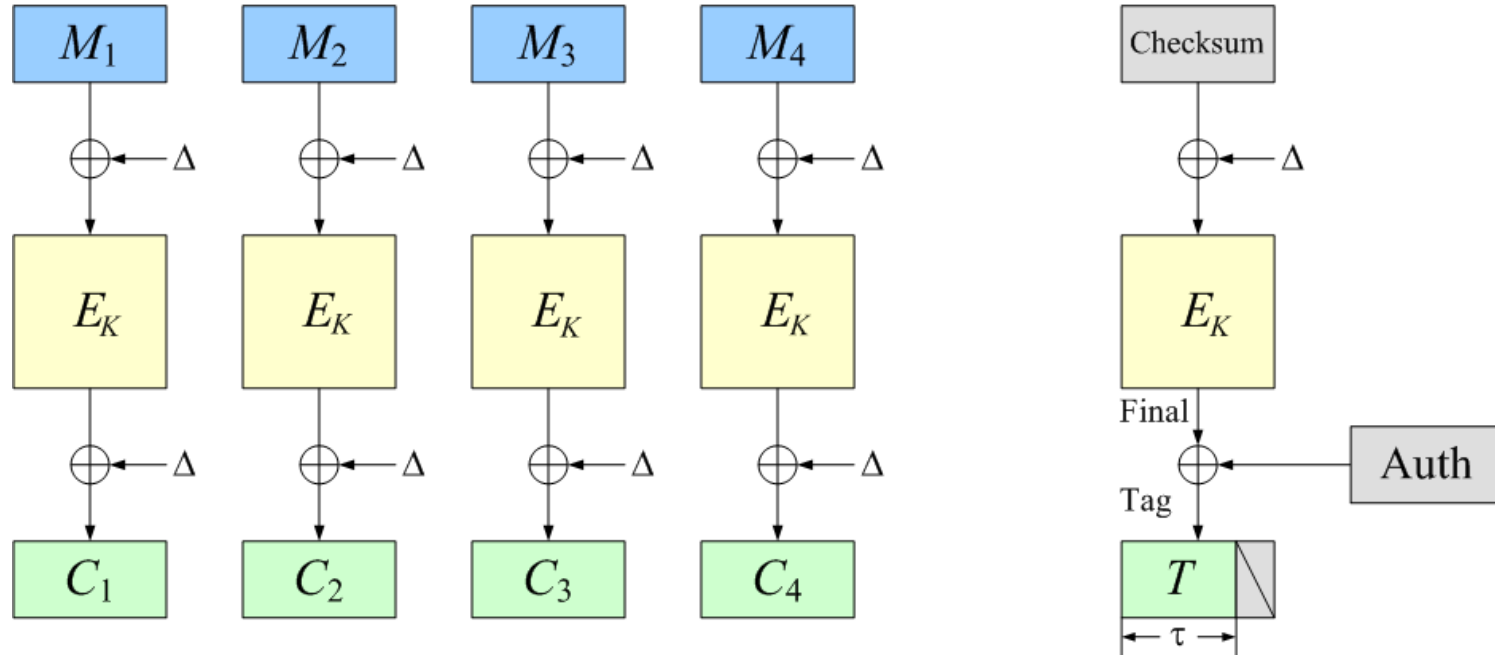
CCM Mode



GCM: Galois/Counter Mode



OCB: Offset Codebook Mode

 $\Delta \leftarrow \text{Init}(N)$
 $\Delta \leftarrow \text{Inc}_1(\Delta)$
 $\Delta \leftarrow \text{Inc}_2(\Delta)$
 $\Delta \leftarrow \text{Inc}_3(\Delta)$
 $\Delta \leftarrow \text{Inc}_4(\Delta)$
 $\Delta \leftarrow \text{Inc}_5(\Delta)$


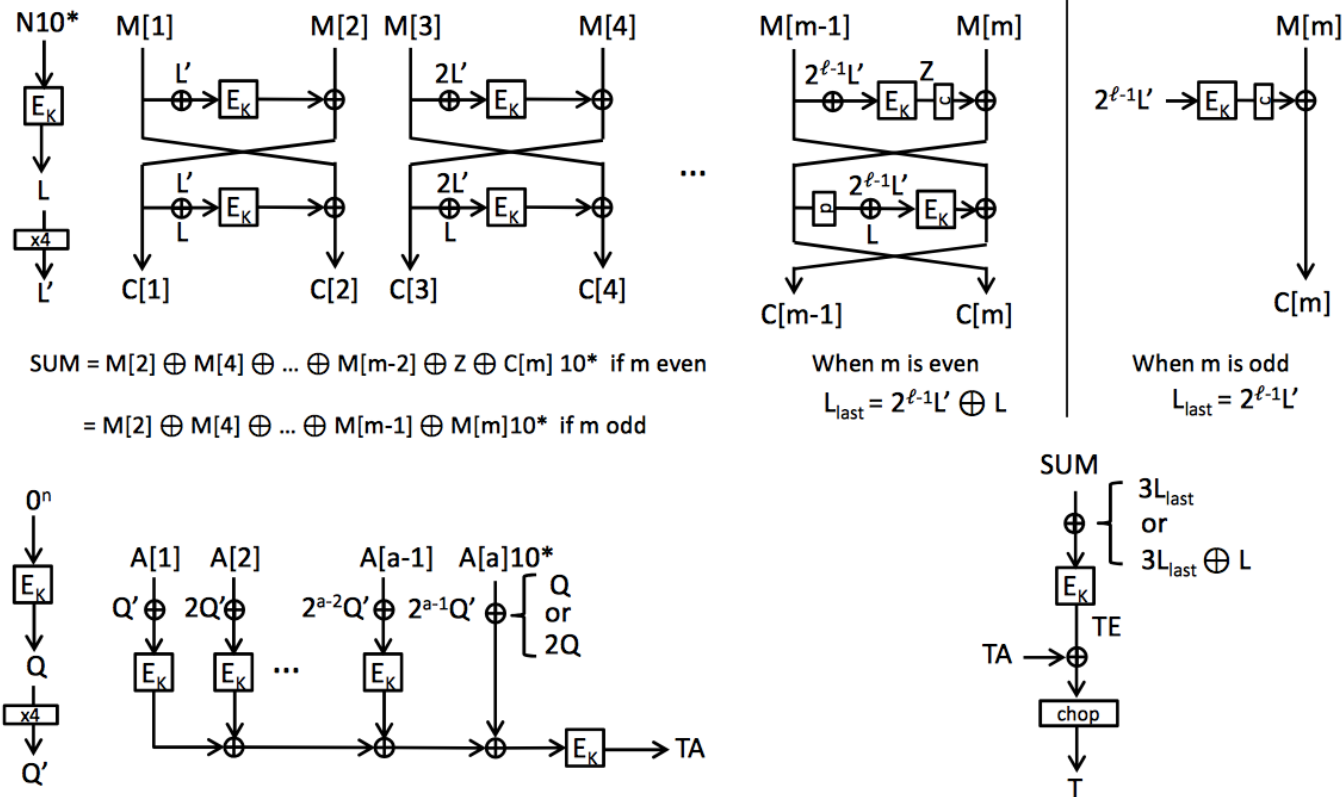
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- 1 AES-128 call per block
- well parallelizable

-

- enc/dec different
- (patents pending)

OTR: Offset Two-Round



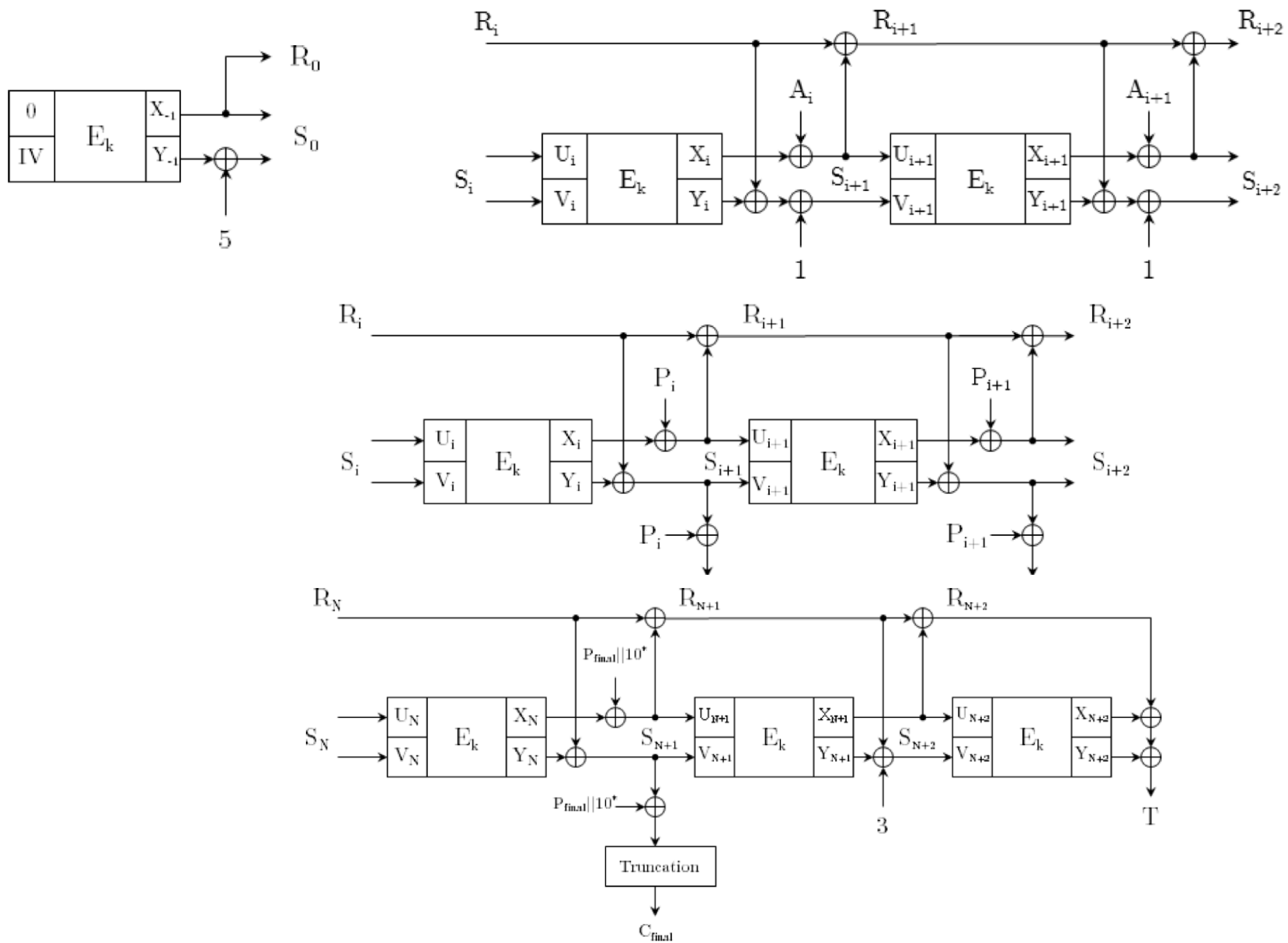
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- 1 AES-128 call per block
- well parallelizable
- only BC enc is needed for both OTR enc & dec

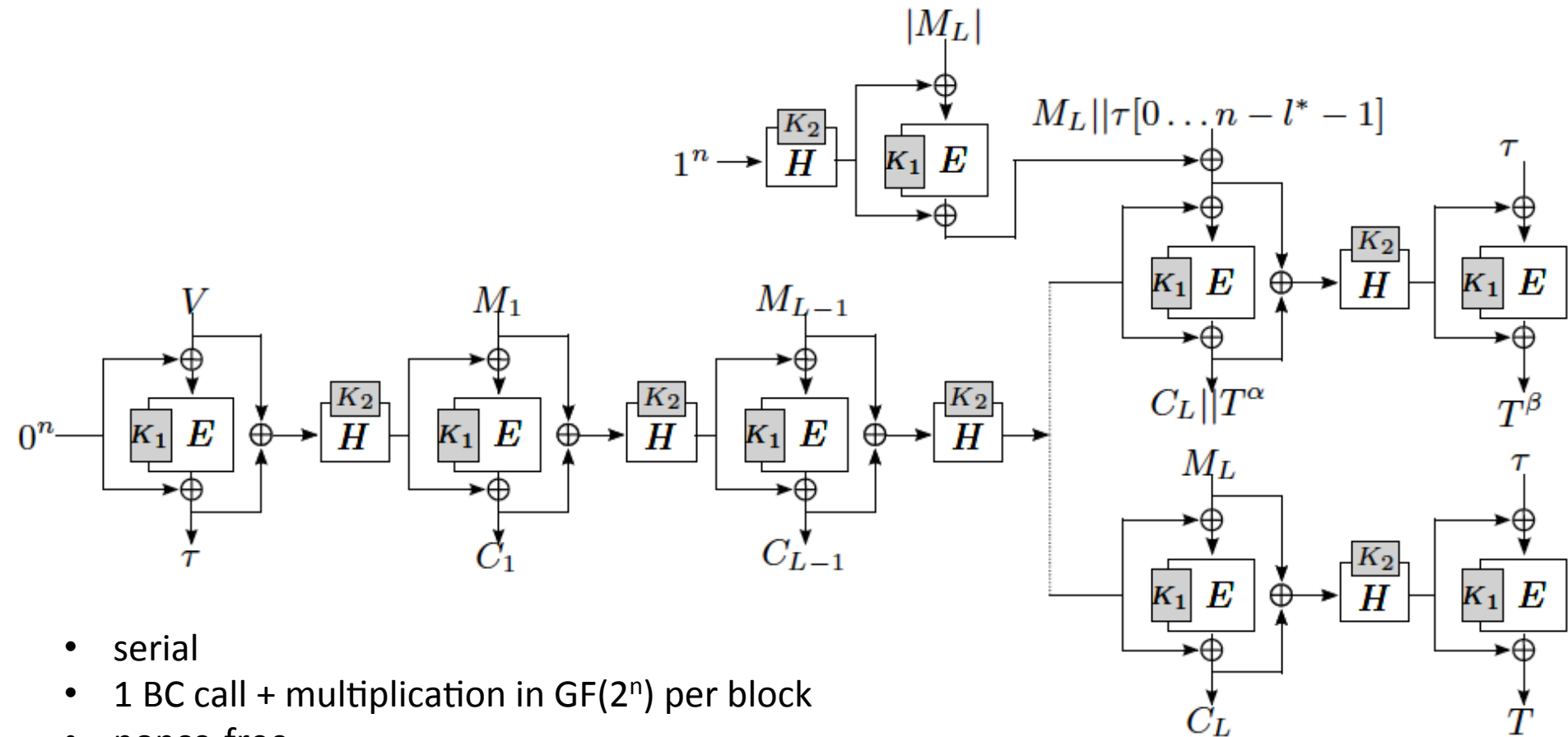
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- Feistel limits parallelizability

JAMBU

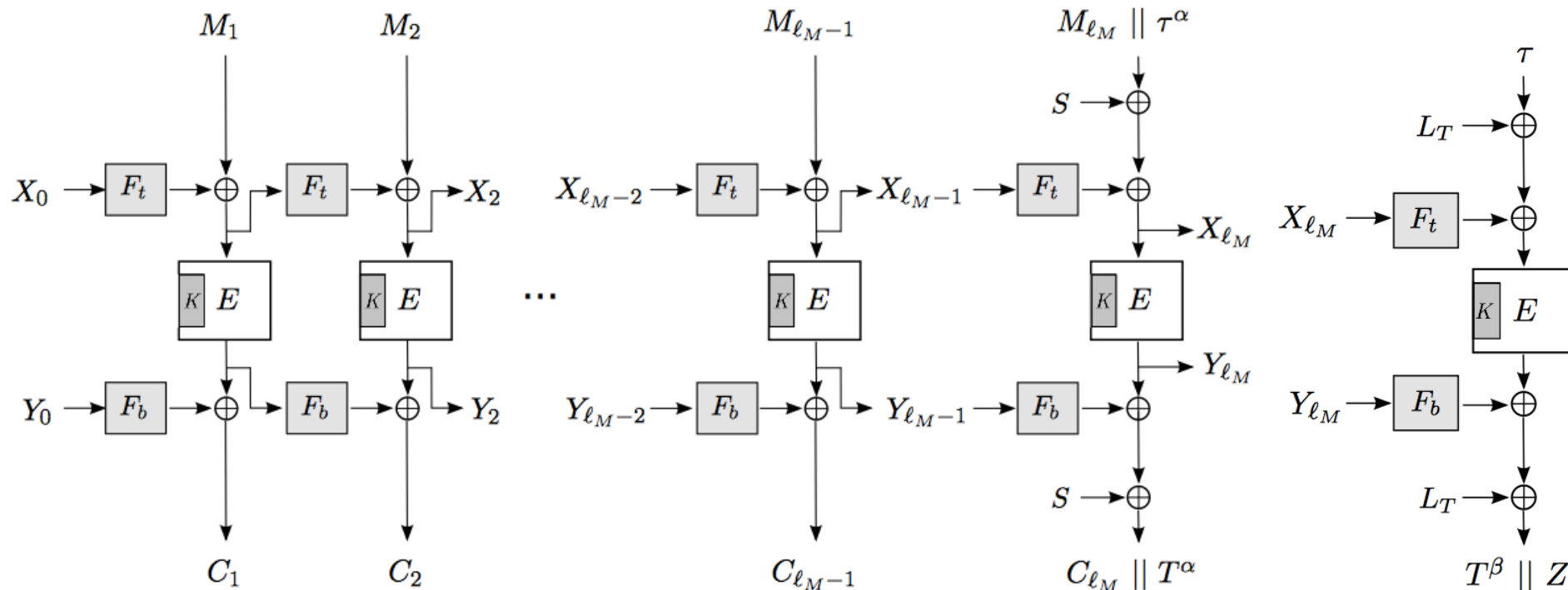


MCoE-G: nonce-misuse resistant & one-pass



- serial
- 1 BC call + multiplication in $\text{GF}(2^n)$ per block
- nonce-free
- one-pass
- common-prefix preservation

POET: Nonce-free one-pass

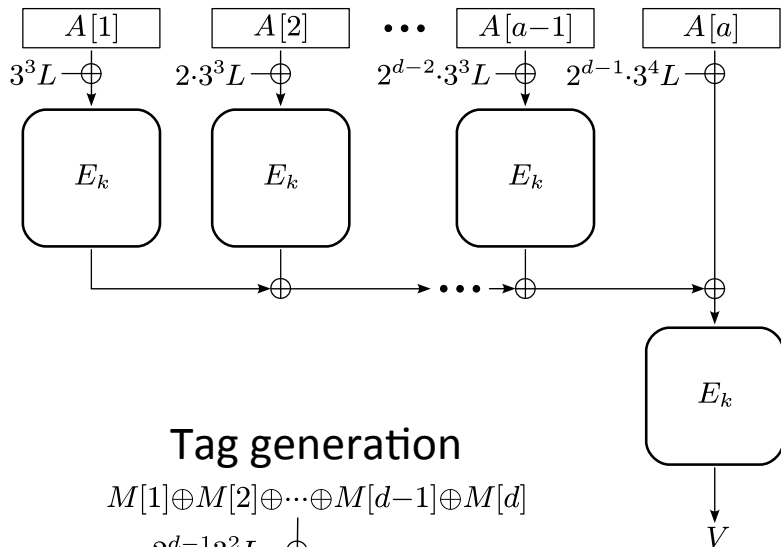


- 1 BC call + 2 multiplications in $\text{GF}(2^n)$ per block or 3 BC calls per block
- nonce-misuse resistant
- one-pass
- common-prefix preservation
- “pipelinable” but rather serial

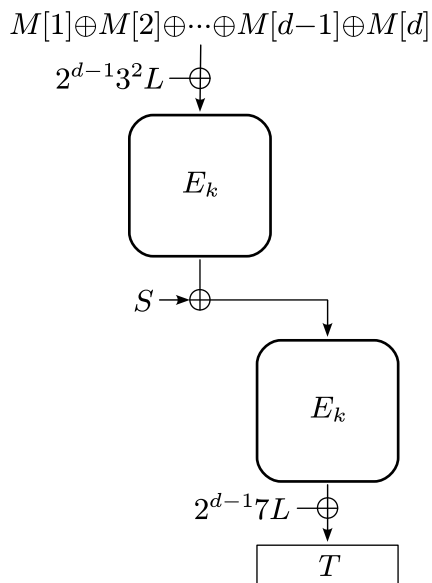
COPA:

Parallelizable single-pass nonce-free AE

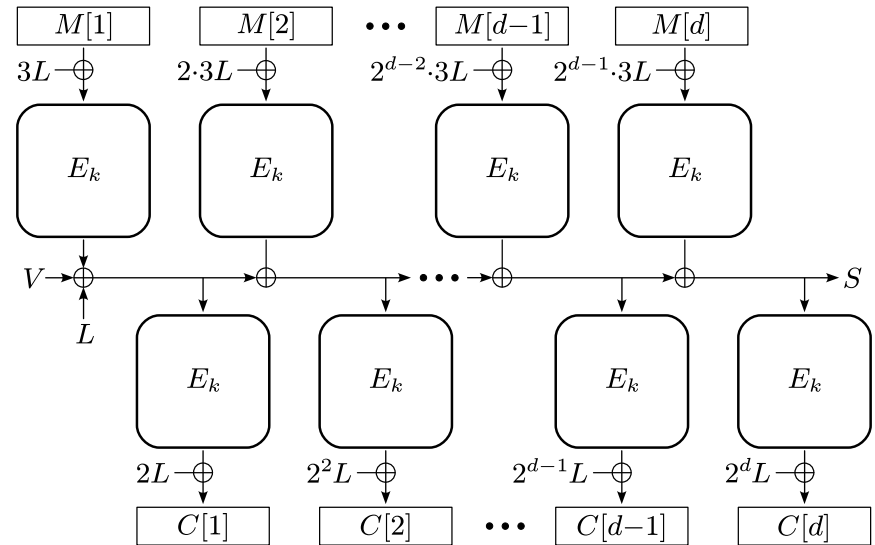
Associated data



Tag generation



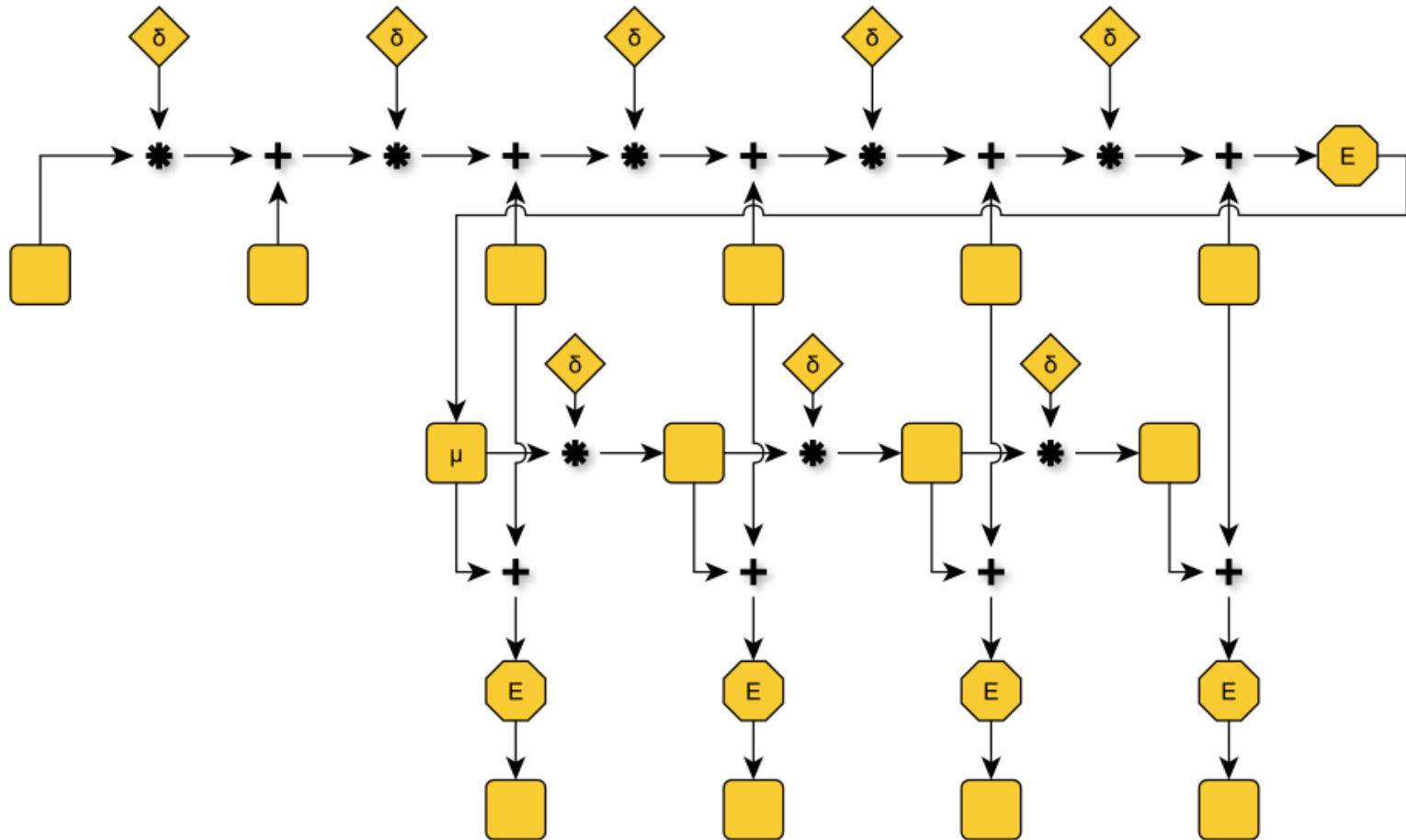
Message (COPE)



- well parallelizable
- two BC calls per block
- nonce-misuse resistant
- one-pass
- common-prefix preservation

Collaboration with Elena Andreeva,
Atul Luykx, Bart Mennink,
Elmar Tischhauser, Kan Yasuda

Julius-ECB



Intel's Haswell: AES and field multiplication

Instruction	L	T^{-1}	Instruction	L	T^{-1}
aesenc	7	1	aesimc	14	2
aesdec	7	1	aeskeygenassist	10	8
aesenclast	7	1	pclmulqdq	7	2
aesdeclast	7	1			

- Latency L
- Inverse throughput T^{-1}
- pclmulqdq largely improved (Haswell vs Sandy Bridge):
 - $L=7$ vs $L=14$
 - $T^{-1}=2$ vs $T^{-1}=8$

Single-message processing vs multiple-message processing

- In a typical networking environment one deal with many shorter packets simultaneously
- Bimodal distribution:
 - 44% of packets between 40 and 100 bytes long
 - 37% between 1400 and 1500 bytes long
- Most data is transmitted in packets of medium size between 1 and 2 KB
- Setting proposed at FSE'12

CBC encryption: Single- vs multiple-message

	single msg.	multiple messages (# msgs.)						
		2	3	4	5	6	7	8
AES-CBC	4.28	2.15	1.43	1.08	0.88	0.74	0.64	0.63
Relative speed-up	×1.00	×1.99	×2.99	×3.96	×4.86	×5.78	×6.69	×6.79

- CBC encryption is serial
- CBC decryption is parallel

Main performance comparison

(a) Nonce-based AE modes

		Message length (bytes)				
		128	256	512	1024	2048
Mode						
single message						
CCM		5.35	5.19	5.14	5.11	5.10
GCM		2.09	1.61	1.34	1.20	1.14
OCB3		2.19	1.43	1.06	0.87	0.81
OTR		2.97	1.34	1.13	1.02	0.96
CLOC		4.50	4.46	4.44	4.46	4.44
COBRA		4.41	3.21	2.96	2.83	2.77
JAMBU		9.33	9.09	8.97	8.94	8.88
SILC		4.57	4.54	4.52	4.51	4.50
multiple messages						
	# msgs.					
CCM	8	1.51	1.44	1.40	1.38	1.37
GCM	13	1.81	1.72	1.68	1.65	1.64
OCB3	7	1.59	1.16	0.94	0.83	0.77
OTR	8	1.28	1.08	0.98	0.94	0.92
CLOC	7	1.40	1.31	1.26	1.24	1.23
COBRA	8	2.04	1.88	1.80	1.76	1.75
JAMBU	14	2.14	1.98	1.89	1.85	1.82
SILC	7	1.43	1.33	1.28	1.25	1.24

(b) Nonce-misuse resistant AE modes

		Message length (bytes)				
Mode		128	256	512	1024	2048
single message						
McOE-G		7.77	7.36	7.17	7.07	7.02
COPA		3.37	2.64	2.27	2.08	1.88
POET		5.30	4.93	4.75	4.68	4.62
Julius		4.18	4.69	3.24	3.08	3.03
multiple messages						
	# msgs.					
McOE-G	7	1.91	1.76	1.68	1.64	1.62
COPA	15	1.62	1.53	1.48	1.46	1.45
POET	8	3.24	2.98	2.86	2.79	2.75
Julius	7	2.53	2.27	2.16	2.09	2.06

Speed-up: Ratio single to multiple message performance

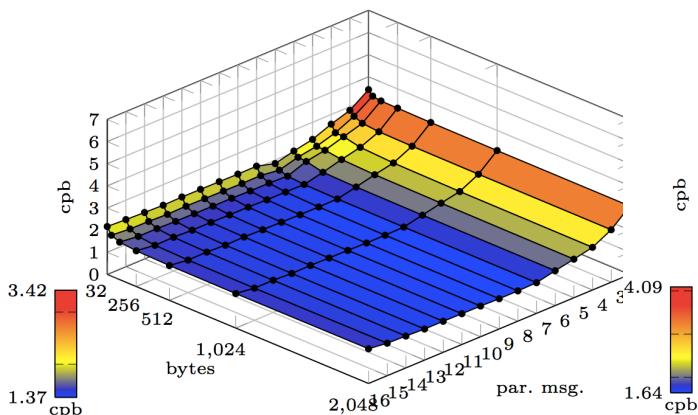
(a) Nonce-based AE modes

Mode	Message length (bytes)				
	128	256	512	1024	2048
CCM	×3.54	×3.60	×3.67	×3.70	×3.72
GCM	×1.15	×0.94	×0.80	×0.73	×0.70
OCB3	×1.38	×1.23	×1.13	×1.05	×1.05
OTR	×2.32	×1.24	×1.15	×1.09	×1.04
CLOC	×3.21	×3.40	×3.52	×3.60	×3.61
COBRA	×2.16	×1.71	×1.64	×1.61	×1.58
JAMBU	× 4.36	× 4.59	× 4.75	× 4.83	× 4.88
SILC	×3.20	×3.41	×3.53	×3.61	×3.63

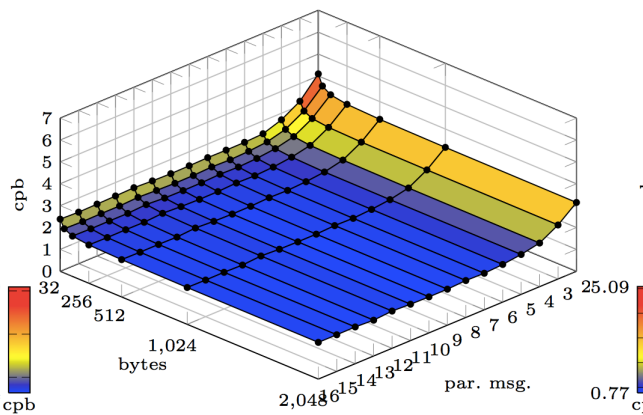
(b) Nonce-misuse resistant AE modes

Mode	Message length (bytes)				
	128	256	512	1024	2048
McOE-G	× 4.07	× 4.18	× 4.27	× 4.31	× 4.33
COPA	×2.08	×1.73	×1.53	×1.42	×1.30
POET	×1.64	×1.65	×1.66	×1.68	×1.45
Julius	×1.65	×2.07	×1.50	×1.47	×1.47

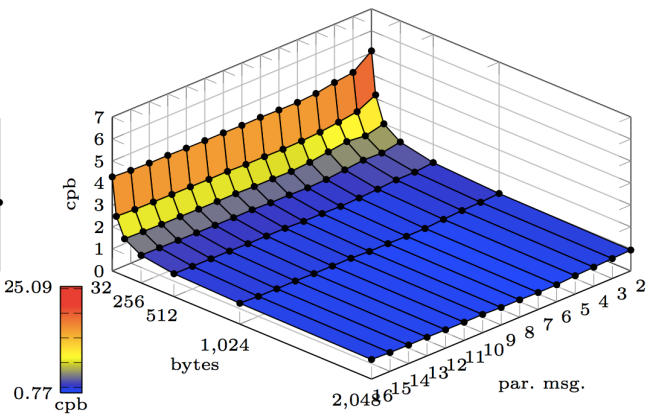
Performance of nonce-based modes in multiple-message setting



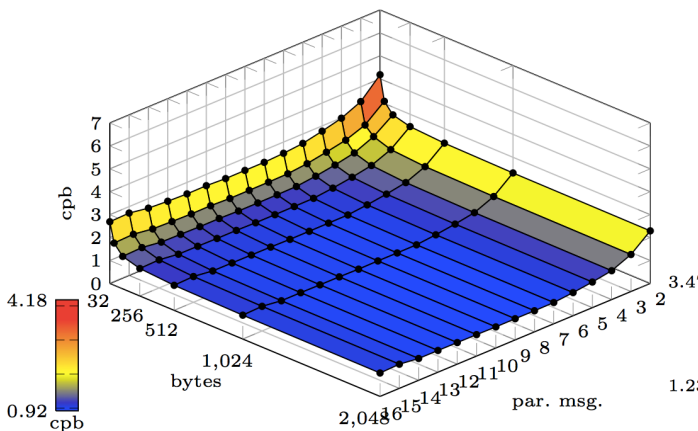
(a) CCM



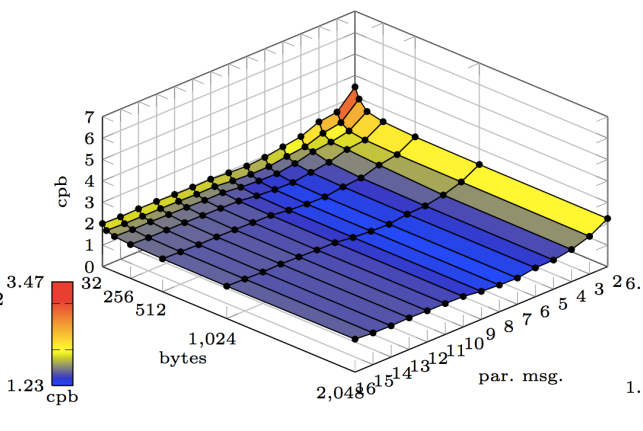
(b) GCM



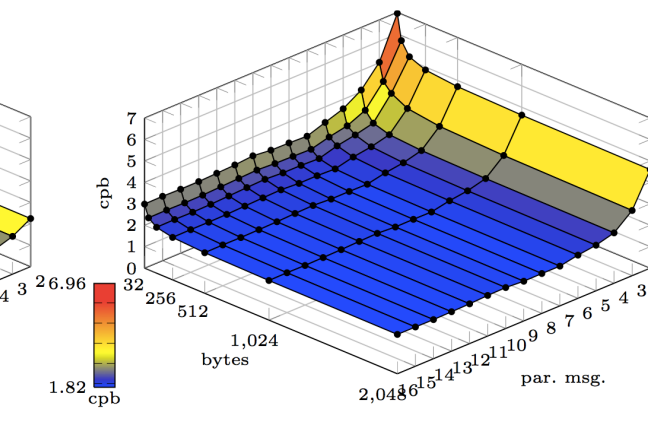
(c) OCB3



(d) OTR

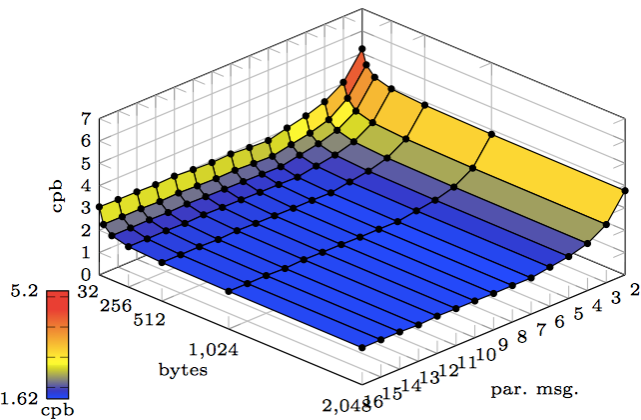


(f) CLOC

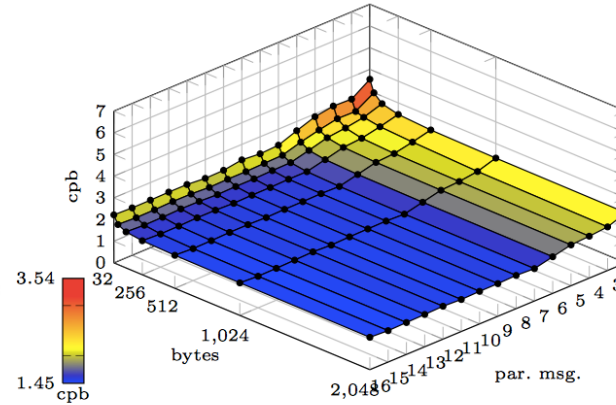


(h) JAMBU

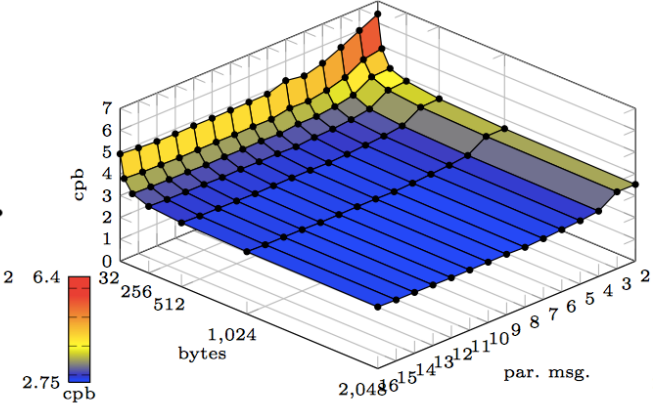
Performance of nonce-free modes in multiple-message setting



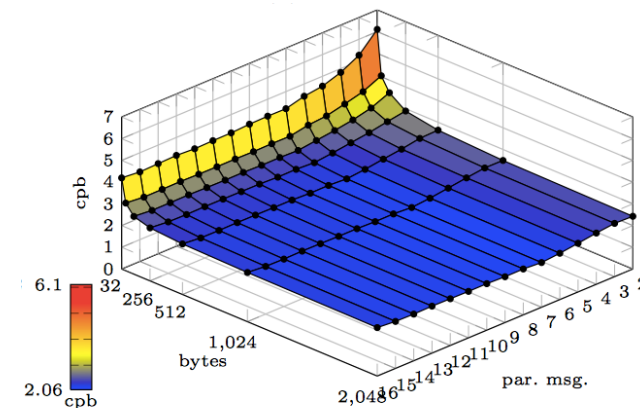
(a) McOE-G



(b) COPA



(c) POET



(d) Julius

Wrap-up

Nonce-based:

- OCB and OTR are especially good (around 0.7-0.9 cpb at several K)
- CCM, CLOC/SILC, and JAMBU get significant speedups with multiple messages

Nonce-misuse resistant:

- COPA is especially good (down to 1.4 cpb at several K)
- McOE-G and POET profit a lot from multiple-message setting

Part 2:

Polynomial-based AE: Weak keys,
Forgery, Twisted Polynomials and
Sliding GCM

Joint work with Mohamed Abdelraheem,
Peter Beelen and Elmar Tischhauser

Scope

- Classes of weak keys
- Explicit forgery polynomials
- Full coverage of the key space

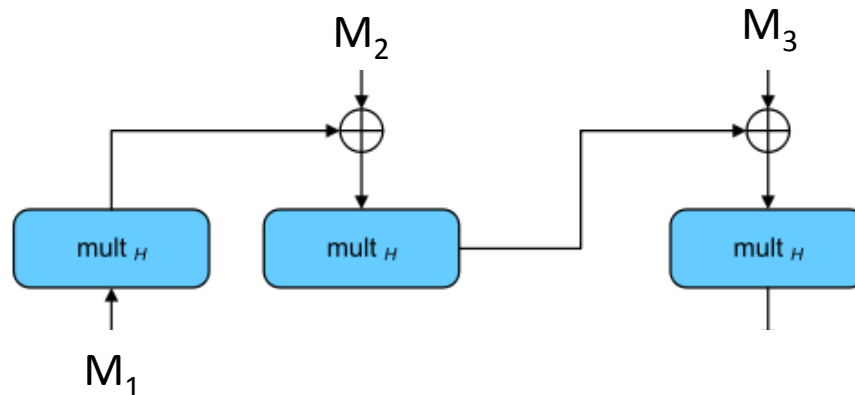
Weak keys

- A class of weak keys of size M if it's possible (Crypto'08):
 - To test the membership in less than M effort and queries

Polynomial Authentication

Definition 1 (Polynomial-based Authentication Schemes). A polynomial hash-based authentication scheme processes an input consisting of a key H and plaintext/ciphertext $M = (M_1 || M_2 || \dots || M_l)$, where each $M_i \in \mathbb{F}_2^{128}$, by evaluating the polynomial

$$h_H(M) := \sum_{i=1}^l M_i H^i \in \mathbb{F}_2^{128}.$$



Weak keys and forgeries for polynomial authentication

- Saarinen's cycling forgery (FSE'12):
 - If H belongs to a (cyclic) subgroup of order t , then

$$H^{t+1} = H$$

and the attacker can swap M_i and M_{i+t}

- Procter and Cid's forgery framework (FSE'13):
 - For any polynomial $q(X) = \sum_{i=1}^r q_i X^i$ with $q(H) = 0$

$$h_H(M) = \sum_{i=1}^r M_i H^i = \sum_{i=1}^l M_i H^i + \sum_{i=1}^r q_i H^i = \sum_{i=1}^r (M_i + q_i) H^i = h_H(M + Q)$$

- Forgery polynomial q

Forgery polynomials

- How to construct a forgery polynomial?
 - $X^t - 1$ due to Saarinen, only for $t \mid (2^{128} - 1)$:
 - The only known explicit construction
 - Limits the sizes and coverage of weak key classes
 - “Manual” construction with $(X - \alpha_1) \cdots (X - \alpha_\ell)$
 - Usually not sparse $\rightarrow$ heavy queries
 - High effort on the adversarial side

Forgery polynomials

- Explicit constructions of forgery polynomials?
- Complete disjoint coverage of the entire key space by forgery polynomials?

Twisted polynomials

- Theory based upon the Ore rings

Definition 2. Let $\mathbb{F}_q$ be a field of characteristic p . The twisted polynomial or Ore ring $\mathbb{F}_q\{\tau\}$ is defined as the set of polynomials in the indeterminate τ having coefficients in $\mathbb{F}_q$ with the usual addition, but with multiplication defined by the relation $\tau\alpha = \alpha^p\tau$ for all $\alpha \in \mathbb{F}_q$.

- Provides a nice way to study linear maps from a binary field to itself as a vector space over F_2
- Given a vector subspace V of $\mathbb{F}_{2^{128}}$, there is a fast procedure to compute a polynomial whose roots are exactly all elements of V

Multiplication in the Ore ring

$$(a + b\tau)(c + d\tau) = a(c + d\tau) + b\tau(c + d\tau) = ac + ad\tau + bc^p\tau + bd^p\tau^2$$

Construction of twisted polynomials

Algorithm 5.1 Construction of twisted polynomials

Input: basis $\mathcal{B} = \{\beta_1, \dots, \beta_d\}$ of $V \subset \mathbb{F}_2^n$

Output: polynomials $p_{V^{(i)}}(X)$ having
 $\text{span}\{\beta_1, \dots, \beta_i\}$ as set of roots

```
1: Set  $a_1 \leftarrow 1$ 
2: Set  $a_i \leftarrow 0$  for  $2 \leq i \leq d+1$ 
3: for  $i = 1$  to  $d$  do
4:    $v \leftarrow \sum_{k=1}^d a_k \beta_i^{2^k}$ 
5:    $c_1 \leftarrow v \cdot a_1$ 
6:   for  $j = 2$  to  $d+1$  do
7:      $c_j \leftarrow a_{j-1}^2 + v \cdot a_j$ 
8:   end for
9:    $p_{V^{(i)}} \leftarrow \sum_{k=1}^{d+1} c_k X^{2^{k-1}}$ 
10: end for
11: return polynomials  $p_{V^{(1)}}(X), \dots, p_{V^{(d)}}(X)$ 
```

A twisted polynomial of degree 2^{31}

i	e_i
1	5766136470989878973942162593394430677
2	88640585123887860771282360281650849369
3	228467699759147933517306066079059941262
4	60870920642211311860125058878376239967
5	69981393859668264373786090851403919597
6	255459844209463555435845538974500206397
7	263576500668765237830541241929740306586
8	37167015149451472008716003077656492621
9	58043277378748107723324135119415484405
10	321767455835401530567257366419614234023
11	45033888451450737621429712394846444657
12	258425985086309803122357832308421510564
13	105831989526232747717837668269825340779
14	267464360177071876386745024557199320756
15	280644372754658909872880662034708629284
16	105000326856250697615431403289357708609
17	45825818359460611542283225368908192857
18	82845961308169259876601267127459416989
19	44217989936194208472522353821220861115
20	69062943960552309089842983129403174217
21	268462019404836089359334939776220681511
22	30001648942113240212113555293749765514
23	669737854382487997736546203881056449
24	127958856468256956044189872000451203235
25	277162238678239965835219683143318848400
26	134662498954166373112542807113066342554
27	219278415175240762588240883266619436470
28	216197476010311230105259534730909158682
29	281783005767613667130380044536264251829
30	181483131639777656403198412151415404929
31	38384836687611426333051602240884584792
32	0

A twisted polynomial of degree 2^{61}

i	e_i	i	e_i
1	20526963135026773119771529419991247327	32	109604555581389038896555752982244394616
2	264546851691026540251722618719245777504	33	119482829110451460647031381779266776526
3	79279732305833474902893647967721594921	34	165259785861038013124994816644344468967
4	325712555585908542291537560181869632351	35	155444340258770748055544634836807134293
5	28114083879843420358932488547561249913	36	86982184438730045821274025831061961430
6	271147943451442547572675283203493325775	37	104870645496065737272877350967826010844
7	335255520823733252020392488407731432338	38	56281281579002318337037919356127105369
8	6718016882907633170860567569329895273	39	10006851898283792847187058774049983141
9	255889065981883867903019621991013125435	40	93687920075554812358890244898088345449
10	49457687721601463712640189217755474230	41	69832672900303432248401753658262533506
11	311579005442569730277030755228683616807	42	246360754285298743574294101515912517720
12	227984510405461964893924913268809066393	43	89567893601904271767461459448076404968
13	324660953045118328235538900161997992161	44	337681726780870315172220356080972321854
14	101370059745789285127519397790494215441	45	210317547004302372764274348440690947691
15	335840777837142047555650075244373419708	46	158574321133010145534802861165087620178
16	31458849980267201461747347071710907523	47	291559826228649927512447763293001897434
17	339477818976914242962960654286547702007	48	15635124331244231609760952717791457746
18	267056244491330957618685443721979120206	49	196562458398036090488379086660199368109
19	115274327651619347046091793992432007152	50	308779188958300135859037769338975723488
20	309606471838332610868454369483105904888	51	311961723579011854596575128443762996895
21	31472831963470543380493543496732929763	52	153505386496968503239745640447605550270
22	191332595597193424626322329032056378009	53	266880473479137548264080346617303001989
23	189553913431309255614514163550670075672	54	325361660912502344542873376867973189476
24	224617322052671248319257827067474740867	55	75648626101374794093175916332043285057
25	63041230306788032973111145533307051562	56	122904035765598179315104311504496672627
26	221576606272152354153350739375040337239	57	240654849065616783877381099532333510366
27	291799903540006289220245045188573741192	58	71774746460316463981542974558280671865
28	290489624437950764499707232619770186293	59	318833970371431372762935716012099244730
29	263754726506046639985479240660603777000	60	176351990917361872511208705771673004140
30	45160807436167307990689150792052670707	61	227372417807158122619428517134408021585
31	33630881905996630925237701622950425950	62	0

Complete disjoint coverage with twisted polynomials

- Cosets $a+V$ of V : $a + V := \{a + v \mid v \in V\}$

Proposition 2. *Let $q = r^e$ and let V be a linear subspace of $\mathbb{F}_q$ of over the field $\mathbb{F}_r$ of dimension d . Moreover denote by $p_V(x)$ be the linearized polynomial associated to V and define $W := \{p_V(x) \mid x \in \mathbb{F}_q\}$.*

Then for any $a \in \mathbb{F}_q$, the polynomial $p_V(x) - p_V(a)$ has as sets of roots exactly $a + V$. Moreover, the sets of roots of the polynomials $p_V(x) - b$ with $b \in W$ partition $\mathbb{F}_q$.

- An efficient way to partition the key space into classes of (weak) keys!

Key recovery with twisted polynomials

Algorithm 5.2 Key recovery using twisted polynomials

Input: message M , polynomial $p_V(X)$ s.t. $h_H(M) = h_H(M + P_V)$, basis $\mathcal{B} = \{\beta_1, \dots, \beta_d\}$ of d -dimensional linear subspace $V \subset \mathbb{F}_2^n$.

Output: authentication key H .

```
1:  $b_i \leftarrow 0, \quad 1 \leq i \leq d$ 
2: Call Alg. 5.1 on  $V$ , obtain  $p_{V^{(1)}}, \dots, p_{V^{(d)}}$ 
3: for  $i = d$  downto 1 do
4:   Denote  $U^{(i)} = \text{span}\{\beta_1, \dots, \beta_{i-1}\}$ , so that
       $p_{U^{(i)}} = p_{V^{(i-1)}}$ 
5:    $\alpha \leftarrow p_{U^{(i)}}(\sum_{j=i}^d b_j \beta_j)$ 
6:   if  $h_H(M) = h_H(M + P_{U^{(i)}} + \alpha)$  then
7:      $b_i \leftarrow 0$ 
8:   else
9:      $b_i \leftarrow 1$ 
10:  end if
11: end for
12: return key  $H = \sum_{i=1}^d b_i \beta_i$ 
```

Applications

- In a weak-key setting, universal forgeries for:
 - GCM
 - POET-G (withdrawn upon our weak-key analysis)
 - Julius
- A note on GCM:
 - One can produce forgeries even in the nonce-respecting setting by using sliding techniques
 - This requires a nonce length other than 96 bits

Wrap-up

- Algebraic structure of polynomial authentication can lead to attacks in a weak-key setting
- Explicit forgery polynomials can be constructed efficiently from Ore rings (linearized polynomials)
- Disjoint coverage of the complete key space by sparse linearized polynomials
- New results for GCM, POET and Julius